

EXPRESS MAIL CERTIFICATE

Date **5-16-01**

Label No. **B 706740494 US**

I hereby certify that, on the date indicated above, this paper or fee was deposited with the U.S. Postal Service & that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

PLEASE CHARGE ANY DEFICIENCY UP TO \$300.00 OR CREDIT ANY EXCESS IN THE FEES DUE WITH THIS DOCUMENT TO OUR DEPOSIT ACCOUNT NO. 04-0100

G KARASZI
Name (Print)

G Karaszi
Signature

Customer No.:



07278

PATENT TRADEMARK OFFICE

Docket No.: 3322/OH401

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Joanne M. MEYER et al.

Serial No.: 09/770,107

Art Unit. 1645

Confirmation No.: 5349

Filed: January 24, 2001

Examiner: Not yet assigned

For: COMPOSITIONS AND METHODS FOR THE DIAGNOSIS AND TREATMENT OF NEUROPSYCHIATRIC DISORDERS, INCLUDING SCHIZOPHRENIA

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

COMPLETION OF PATENT APPLICATION

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted mailed on April 26, 2001 for this application (hereinafter the "Notice"), Applicants submit herewith the following items:

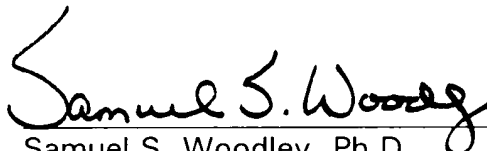
1. A Declaration and power of attorney, executed by the inventors: Joanne M. Meyer; Rory Barrington-Martin; Alexander Parker; and Glenn T. Barnes

2. Payment in the amount of \$ 1714, (\$ 1544 filing; \$ 40 recording; \$130 late)
in the form of:
☒ check
☐ deposit account no. 04-0100
☐ credit card (see attached form)
(See attached **Fee Computation Sheet**)
3. ☐ Drawings, sheets (Figs.)
4. ☒ Assignment for recording to: Millennium Pharmaceuticals, Inc.
5. ☐ Applicant claims small entity status.
PARTIAL REFUND of all fees paid within last 2 months is REQUESTED.
6. A return copy of Notice to File Missing Parts of Application.
7. An Information Disclosure Statement, including Form PTO-1449 and copies of references 1-11 cited therein.

The Patent Office is authorized to charge any deficiency up to \$300.00 in the above fees, and to credit any excess, to our Deposit Account No. 4-0100.

Respectfully submitted,

Dated: May 16, 2001


Samuel S. Woodley, Ph.D.
Reg. No. 43,287
Agent for Applicant(s)

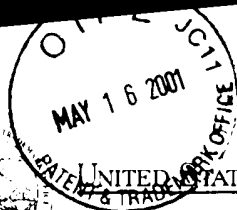
DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022
212-527-7700

Serial No. 09/770,107

Docket No. 3322/OH401

PATENT FEE COMPUTATION SHEET

| | No. of Claims Presented | Extra Claims Previously Paid For | Number of Extra Claims | Rate |
|--|----------------------------|--|---------------------------|-----------|
| Basic Fee | | | | \$710.00 |
| Total Claims | 53 - 20 | - 0 = 33 | x \$18.00 | \$594.00 |
| Independent Claims | 6 - 3 | - 0 = 3 | x \$80.00 | \$240.00 |
| Multiple Dependent Claims | | - if so, add | \$270.00 | \$0.00 |
| Surcharge for late submission of filing fee and/or declaration (\$130.00) | | | | \$130.00 |
| SUBTOTAL | | | | \$1674.00 |
| [] Small Entity REDUCTION (Half of Subtotal) | | | | \$0.00 |
| Fee for recordation of assignment (\$40.00) | | | | \$40.00 |
| Charge for filing non-English language application (\$130.00) | | | | \$0.00 |
| TOTAL | | | | \$1714.00 |



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/770,107 | 01/24/2001 | Joanne M. Meyer | 3322/OH401-US0 |

CONFIRMATION NO. 5349

FORMALITIES LETTER



OC000000006011461

DARBY & DARBY P.C.
805 Third Avenue
New York, NY 10022

Date Mailed: 04/26/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$834.
 - \$594 for 33 total claims over 20.
 - \$240 for 3 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1674.**

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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710.00 00
240.00 00
594.00 00
130.00 00